

# Recent advances in understanding the genetic etiology of congenital heart disease

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The genetic etiologies of multiple cardiovascular disorders have been identified recently. For the most part, familial cardiomyopathic, vascular, or arrhythmogenic disorders have been studied given the opportunity to identify the disease gene by linkage analyses, positional cloning, and analysis of candidate genes. Given that structural congenital heart disease rarely occurs in the context of large families, alternative approaches to understand the possible genetic etiologies have been taken. In particular, molecular evaluations of genetic syndromes in which cardiac defects are a cardinal feature are providing new insights into disease-related genes and developmental pathways. The identification of rare families with multiple affected members also has provided some insight into the genetic contribution to structural congenital heart defects. This review highlights the newest findings on the genetic etiology or implications in each of the subcategories of congenital cardiovascular disorders, and will provide the reader with both a brief overview and update. Particular note will be made of the genotype/phenotype analyses of hypertrophic cardiomyopathy and the long QT syndromes, as well as the identification of new disease-related genes for dilated cardiomyopathy, idiopathic ventricular fibrillation, and structural heart disease.

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## Abbreviations

**ASD** atrial septal defect  
**CHD** congenital heart disease  
**DCM** dilated cardiomyopathy

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Although congenital heart disease (CHD) is the most common major organ malformation, little is known about its etiology. Identifying the genetic etiology of these defects has been difficult for several reasons. Historically, neither the mechanisms of cardiac development nor the proteins involved were known, and the necessary genetic techniques were not developed. During the last decade, the methodology of linkage analysis and positional cloning has allowed for the identification of disease-related genes in those cardiovascular disorders in which affected individuals survive to reproduce and large families with multiple affected members could be identified. These disorders include mostly myocardial, vascular, and arrhythmogenic defects. In contrast, many children with structural heart disease previously have not survived to reproduce, so large families with multiple affected members are very rare. Thus, linkage analyses to identify disease loci for structural CHD frequently have not been performed. However, recent examinations of patients with syndromes of known chromosomal abnormalities have begun to provide insight into the related forms of heart disease. In addition, researchers are identifying genes critical to cardiovascular development from mammalian experiments and models. Some of these genes may prove to be disease-related. This review focuses on the most recent advances made in understanding the genetic etiology of congenital cardiovascular disorders, including diseases of the myocardium, vasculature, rhythm, and cardiac structure. Table 1 summarizes the disorders under investigation, though only those with recent advances are reviewed in detail here.

## Cardiomyopathies

### Hypertrophic cardiomyopathy

Hypertrophic cardiomyopathy was one of the first cardiovascular disorders for which a genetic etiology was identified. The availability of large families with multiple affected members permitted linkage analyses to be performed. Linkage analyses as well as mutation analyses of candidate genes have mapped eight disease loci for which seven disease genes have been identified (Table 1). These disease genes are estimated to account for 70% of all hypertrophic cardiomyopathy, of which 30% to 35% of occurrences are explained by mutations in the  $\beta$  cardiac myosin heavy chain, 10% to 20% by mutations in the cardiac troponin T gene, and 10% to 15% by mutations in the cardiac myosin binding protein

**Table 1. Genetic loci associated with congenital cardiovascular diseases**

Category	Disease	Map location	Gene/protein	
Myocardial	Hypertrophic cardiomyopathy	14q1	$\beta$ Cardiac myosin heavy chain	
		15q2	$\alpha$ -Tropomyosin	
		1q31	Cardiac troponin T	
		11p13–q13	Myosin binding protein-C	
		12q23	Cardiac/slow myosin regulatory light chain	
		13p21	Ventricular/slow myosin essential light chain	
		19p13.2–19q13.2	Cardiac troponin I	
		HCM with Wolf-Parkinson-White syndrome	7q3	Unknown
			Xp21	Dystrophin
		Primary dilated cardiomyopathy	Xp28	<i>G4.5</i>
			1q32	Unknown
			1p1–1q1	Unknown
			2q31	Unknown
			3p22-25	Unknown
			9q13-22	Unknown
10q21-23	Unknown			
15q14	Unknown			
Vascular	Marfan's syndrome	15q21	Fibrillin-1	
	Familial SVAS	7q11	Elastin	
	Williams syndrome	7q11	Elastin plus other genes	
Arrhythmic	Long-QT syndrome	11p15	<i>KVLQT1</i>	
		7q35-q36	<i>HERG</i>	
		3p21-p24	<i>SCN5A</i>	
	Complete heart block	21	<i>KCNE1</i>	
		4q25-q27	Unknown	
		19q13	Unknown	
		Arrhythmogenic RV dysplasia	14q23-q24	Unknown
			1q42-q43	Unknown
			14q12-q22	Unknown
	Arrhythmogenic RV dysplasia/Naxos disease	3p23	Unknown	
		17q21	Unknown	
		10q22-q24	Unknown	
	Structural	Familial atrial fibrillation	3p21-p24	<i>SCN5A</i>
		Familial ventricular fibrillation	12q2	<i>TBX5</i>
		Holt-Oram syndrome	5q35	<i>NKX2.5</i> (or <i>CSX</i> )
Familial ASD with heart block		22q11	Unknown	
DGS/VCFS		20p12	<i>JAGGED1</i>	
Alagille syndrome		Xq24-2q7	<i>ZIC3</i>	
Familial situs abnormalities		4p13-q12	Unknown	
Familial TAPVR		21q22	Unknown	
CAVC with trisomy 21				

ASD, atrial septal defect; CAVC, complete atrioventricular canal; DGS/VCFS, DiGeorge syndrome/velocardiofacial syndrome; HCM, hypertrophic cardiomyopathy; RV, right ventricle; SVAS, supra-ventricular aortic stenosis; TAPVR, total anomalous pulmonary venous return.

C [1,2]. These investigations have demonstrated that hypertrophic cardiomyopathy is a disease of the sarcomere and is markedly, genetically heterogeneous [3,4••]. Recent work has focused on the relationship of genotype to phenotype in patients with hypertrophic cardiomyopathy. Investigators have studied whether a specific disease gene is associated with a more or less severe phenotype as compared with the other disease genes, and whether specific mutations in one gene are associated with a more or less severe phenotype. Studies have demonstrated that specific mutations of the  $\beta$  cardiac myosin heavy chain gene are more frequently associated with sudden cardiac death and severe hypertrophy (such as the Arg403Gln mutation), whereas other mutations of the same gene are associated with a more benign clinical course (such as the Leu908Val mutation) [5–7]. In contrast, other studies have demonstrated that all cardiac troponin T mutations are associated with minimal hypertrophy and low

disease penetrance but a high incidence of sudden cardiac death [1,8]. Most recently, two investigations [2,9] reported that mutations in the cardiac myosin protein C gene are associated with delayed expression of the disease and a favorable prognosis as compared with other genotypes.

#### Dilated cardiomyopathy

The etiology of dilated cardiomyopathy (DCM) is also markedly heterogeneous and includes inborn errors of fatty acid oxidation, disorders of mitochondrial oxidative phosphorylation, and abnormalities of myocardial structural and contractile proteins [10]. Familial forms have been reported most frequently with autosomal dominant inheritance, but also with autosomal recessive inheritance and X-linked transmission. In particular, previous studies have demonstrated that two forms of X-linked familial dilated cardiomyopathy exist. Several mutations in the dystrophin gene (which is also altered in

Duchenne's and Barth's muscular dystrophy) have been found in different families with X-linked DCM in the absence of skeletal muscle weakness [11]. In addition, the novel gene *G4.5*, identified as the disease gene in Barth's syndrome, has been identified as disease-related in other patients with X-linked DCM who lack the other symptoms generally seen in Barth's syndrome [12,13]. Moreover, mutations in *G4.5* have been reported in neonates with isolated noncompaction of the left ventricular myocardium [14]. Thus, a spectrum of myocardial and skeletal abnormalities result from different mutations in the same genes, namely, dystrophin and the novel gene *G4.5*. Once again, the specific mutation in each of the genes most likely explains the associated phenotypic variability and is under further investigation.

Multiple other familial cases of DCM with autosomal dominant transmission have been reported for which a disease locus has been mapped by linkage analysis, but for which the specific disease-related gene has not been identified (Table 1). Siu *et al.* [15] have identified the newest locus on chromosome 2q31. However, Olson *et al.* [16••] recently identified a novel disease-related gene in two small families with DCM by taking a candidate gene approach. The authors hypothesized that actin dysfunction would lead to heart failure and identified two different mutations of actin in unrelated families, thereby identifying the first autosomal, disease-related gene for DCM. Of further interest, Nezu *et al.* [17] identified mutations in the novel transporter gene *OCTN2* in patients with the autosomal recessive disorder primary systemic carnitine deficiency, a disorder characterized in part by DCM.

Finally, several reports have begun to demonstrate that primary, isolated DCM is more frequently familial than previously had been recognized. Grunig *et al.* [18] took detailed family histories from index cases and examined all willing living relatives with suspected familial disease. These authors found that up to 35% of patients with DCM may have an inherited disorder that could be divided into five categories, including DCM with muscular dystrophy, DCM without skeletal involvement, DCM with segmental hypokinesia of the left ventricle, DCM with conduction abnormalities, and DCM with sensorineural hearing loss. In a second study, Baig *et al.* [19••] performed examinations and echocardiograms on 408 willing, asymptomatic relatives of 110 consecutive patients with DCM. Nearly one third of the relatives (29%) were found to have echocardiographic abnormalities, including DCM (3%), left ventricular enlargement (20%), and diminished left ventricular fractional shortening (6%). Of further note, 27% of those relatives with only left ventricular enlargement progressed to DCM over a 14-month follow-up period. These studies underline the importance of taking a detailed family history

when a patient is newly diagnosed with DCM and raise the question as to whether relatives should be prospectively examined for subtle signs of early DCM in the interest of improved management.

## Arrhythmias

### Long QT syndrome

The long QT syndrome is transmitted as an autosomal dominant trait (Romano-Ward) or as an autosomal recessive trait in association with congenital deafness (Jervell and Lange-Nielsen). The frequent familial occurrence of these disorders has permitted linkage analyses and the identification of five disease loci thus far; other disease loci are likely to exist (Table 1). To date, four disease genes have been identified including *KvLQT1*, *HERG*, *SCN5A*, and *KCNE1* [20–22]. Molecular studies repeatedly have confirmed that significant overlap exists between the QTc measurement in gene carriers compared with noncarriers, underlining the limitations of diagnosis by clinical criteria alone [23,24••]. However, at this time, routine clinical diagnostic molecular testing is not available given the genetic heterogeneity and limited molecular techniques.

Recent studies have focused on genotype/phenotype analyses to determine whether specific mutations in one gene or the different disease genes are associated with a different clinical course. An early study [25] demonstrated that patients with mutations in either *KvLQT1*, *HERG*, or *SCN5A* had distinct T wave patterns, though considerable overlap also occurred. A recent study [26••] demonstrated that the genotype influenced the clinical course of the disease before the initiation of  $\beta$  blockade therapy. In particular, patients with *KvLQT1* or *HERG* mutations experienced more frequent cardiac events, at an earlier age, than did those patients with mutations in *SCN5A*. However, the likelihood of a lethal outcome following a cardiac event was greater in the group with *SCN5A* mutations. The cumulative mortality by age 40 turned out to be similar among all three groups. Wilde *et al.* [27•] examined what stimuli triggered cardiac events in each genotypically distinct group. They found that whereas exercise-related events occurred more frequently in patients with *KvLQT1* mutations, auditory stimuli triggered cardiac events in patients with *HERG* mutations.

In addition to determining the clinical phenotype associated with each genotype, studies investigating gene-specific therapies have been initiated. Schwartz *et al.* [28] hypothesized that the QTc would shorten in patients with mutations in the sodium channel *SCN5A* when treated with the sodium channel blocker mexilitine or at moments of increased heart rates, as compared with patients with mutations in *HERG*, a potassium channel. The QTc shortened significantly in patients

with *SCN5A* mutations when treated with mexilitine or at times of increased heart rate, as compared with patients with *HERG* mutations. Such trials begin to identify ways in which therapy might be tailored to specific genotypes.

#### Other arrhythmias

Using linkage analyses or analysis of candidate genes, progress recently has also been made in the identification of disease loci or specific genes in other familial conduction abnormalities (Table 1). Brink *et al.* [29] mapped a disease locus for progressive, complete heart block in three families to 19q13. Several disease loci have been mapped for arrhythmogenic right ventricular dysplasia (Table 1), the most recent locus mapping to 3p23 [30]. To date, no specific disease-related gene has been identified for this disorder. A disease locus for familial atrial fibrillation was recently reported on 10q22-q24 [31]. Using a candidate gene approach, Chen *et al.* [32••] demonstrated that mutations in the sodium channel *SCN5A* were present in three unrelated families with inherited, asymptomatic electrocardiogram changes and idiopathic ventricular fibrillation. Although *SCN5A* is a disease gene for long QT syndrome, the investigators demonstrated that the families with idiopathic ventricular fibrillation were clinically distinct. Each of these studies identify disease loci or genes specific to one family. However, the same genes or mechanisms may prove to be operative in sporadic cases as well. Further, these findings help to elucidate the overall mechanisms of arrhythmias.

### Structural congenital heart disease

#### Atrial septal defects

Classic studies on the genetic etiology of the Holt-Oram syndrome provided the first insight into the genetic etiology of associated atrial septal defects (ASDs). Linkage analyses of families with Holt-Oram syndrome demonstrated that at least one disease locus mapped to chromosomal locus 12q [33,34]. Subsequently, two separate groups, using a positional cloning strategy, demonstrated that *TBX5*, a member of the brachyury gene family, was the disease gene [35,36]. Though sporadic cases of Holt-Oram syndrome have been found to have mutations in *TBX5*, to date, mutations of *TBX5* have not been found in sporadic cases of nonsyndromic patients with ASDs.

Because ASDs are generally not lethal forms of CHD, multiple familial cases have been reported, some of which are associated with conduction disturbances. Previous reports have demonstrated that the genetic etiology of these familial cases is heterogeneous [37]. Using linkage analysis followed by evaluation of a candidate gene, Schott *et al.* [38••] identified the first disease-related gene for nonsyndromic ASDs. These

authors demonstrated that the transcription factor and tinman homologue *NKX2.5* (or *CISX*) was mutated in four unrelated families with ASDs and conduction abnormalities. Of note, a few members in one family had different types of CHD (tetralogy of Fallot), whereas one member of another family had the typical conduction abnormality but no ASD. Thus, it is possible that mutations in *NKX2.5* can cause other forms of CHD or isolated atrioventricular block. This possibility is under further investigation.

#### Conotruncal defects and the 22q11 deletion syndrome

Several investigations have demonstrated that the majority of patients with DiGeorge syndrome, velocardiofacial syndrome, and conotruncal anomaly facies syndrome share a common genetic etiology, namely, a deletion of chromosomal region 22q11. Now referred to as the 22q11 deletion syndrome or CATCH 22, the clinical phenotype of this syndrome is highly variable and can include CHD, hypocalcemia, immunodeficiency, palatal abnormalities, speech and learning disabilities, renal anomalies, psychiatric problems, and facial dysmorphism [39,40]. Two large series [41,42] have described the clinical phenotype associated with the 22q11 deletion in detail. Conotruncal cardiac defects, including tetralogy of Fallot, truncus arteriosus, and interrupted aortic arch type B, are a cardinal feature of the 22q11 deletion syndrome. Several studies have assessed the frequency with which patients with a conotruncal cardiac defect have the 22q11 deletion. One of the largest recent series reported that nearly 16% of all patients with tetralogy of Fallot, 35% with truncus arteriosus, and 50% with interrupted aortic arch type B had a 22q11 deletion [43]. In contrast, only one of 20 patients with double outlet right ventricle, and none of 46 patients with transposition of the great arteries, had a 22q11 deletion. These findings concur with those reported in previous, smaller series. In addition, this report and others also demonstrated that aortic arch anomalies are more frequently seen in patients with the 22q11 deletion as compared with those without it. In other words, the relative risk of having the deletion varied substantially with the primary cardiac diagnosis and increased in the presence of additional aortic arch anomalies.

Given the high frequency of the deletion in this patient population, this author and others have suggested that all *infants* with tetralogy of Fallot, truncus arteriosus, or interrupted aortic arch type B should undergo screening for the 22q11 deletion until further prospective outcome studies are completed. This recommendation is controversial; some favor screening only those patients with syndromic features. This author questions that approach for several reasons. First, the syndromic features are highly variable and can be overlooked in the infant. Therefore, some infants would not be diagnosed with

the 22q11 deletion syndrome in the newborn period and would miss the opportunity for early clinical intervention for noncardiac features of the disorder. Second, a significant proportion of the parents of such patients have been found to be carriers of the deletion with minimal features and have come to be diagnosed with the deletion only after their children with more severe phenotypes have been diagnosed. Thus, the opportunity to offer appropriate counseling for the family considering additional children potentially would be lost or delayed. Additional prospective analyses likely will provide further insight into this controversy.

The specific gene or genes that are disease-related in the 22q11 deletion syndrome have yet to be conclusively determined. The commonly deleted region spans more than 2 megabases of DNA [40]. Already 25 genes have been identified from the deleted region. Presumably, haploinsufficiency, or half the dosage, of one or more of these genes causes the phenotype. Though some variation in the deletion size has been found, the size of the deletion does not correlate with phenotype. In fact, unique patients with unusual deletions have similar phenotypes to those with the common deletions, complicating the ability of investigators to determine a specific molecular explanation for the disorder [44–46].

Although several genes mapping into the deleted region are interesting candidates for the disease, Yamagishi *et al.* [47•] provide evidence to implicate the gene *UFDL1*. Their experiments indicate that *UFDL1* participates in the same developmental pathway as *dHAND*, a transcription factor that participates in aortic arch and right ventricular development [48]. Moreover, in the mouse embryo, *UFDL1* was expressed in the conotruncus, branchial arches, limb buds, palatal precursors, and frontonasal regions, all anatomic regions affected in the 22q11 deletion syndrome. Finally, Yamagishi *et al.* [47•] identified a unique patient with the clinical features of the syndrome but who had a much smaller, atypical deletion than any previously reported subject. The deletion encompasses the first three exons of *UFDL1*. Although these data support the hypothesis that *UFDL1* is the disease-related gene, the story is more complicated, for the unique deletion in that patient also includes part of a neighboring gene, *CDC-45*. In addition, it remains to be determined whether *UFDL1* alone causes all features of the disease or whether other genes in the deleted region are also required for manifestation of the disease phenotype. Finally, any explanation for the disease must account for the unique patients whose deletions do not include *UFDL1*.

#### **Alagille syndrome**

Alagille syndrome is an autosomal dominant disorder characterized by bile duct paucity in conjunction with

cardiac disease (particularly right-sided defects), skeletal and ocular abnormalities, and a characteristic face. *JAGGED1*, a gene coding for a cell surface protein known to function as a ligand for the Notch transmembrane receptor, recently has been shown to be the Alagille syndrome disease gene [49,50]. Although the diagnosis of Alagille syndrome has previously required bile duct paucity, recent studies have demonstrated that the phenotype associated with Alagille syndrome is highly variable and may include cardiac disease and only subtle syndromic features in the absence of clinically overt liver disease. Krantz *et al.* [51] studied two cardiac patients for *JAGGED1* mutations because they had typical right-sided cardiac defects of Alagille syndrome even though their symptoms did not fulfill the clinical criteria for the syndrome. The investigators identified *JAGGED1* mutations in these patients nonetheless. Thus, *JAGGED1* appears to be an interesting candidate gene for right-sided cardiac defects. Further investigations are underway to better define the cardiac disease associated with Alagille syndrome and to determine whether *JAGGED1* is a disease-related gene in patients with isolated right-sided cardiac defects.

#### **Heterotaxy syndrome**

Familial cases of heterotaxy syndrome (also called situs ambiguous or asplenia/polysplenia syndromes) have been reported displaying either autosomal dominant, autosomal recessive, multifactorial, or X-linked inheritance. Although mammalian experiments have identified a number of genes involved in establishing asymmetry in the developing embryo, only one human disease-related gene has been identified to date. Gebbia *et al.* [52] identified mutations in a novel zinc-finger transcription factor, *ZIC3*, in familial and sporadic cases of situs ambiguous. Studies investigating the role of other candidate genes in this class of disorders are ongoing.

#### **Conclusions**

Significant progress has been made in the effort to identify the genetic etiology of congenital heart disease. More discoveries have been made in familial disorders, but evaluation of rare families with CHD and of genetic syndromes offers great promise as well. At this time, routine clinical diagnostic testing for mutations in a patient suspected of having one of these disorders is not available (except at times through a research laboratory), given the marked genetic heterogeneity and complexity of detecting a mutation in any one familial or sporadic case. Thus, the diagnosis of each disorder still relies on the clinical evaluation. In the future, molecular testing is likely to become available. In the meantime, these studies provide insight into the mechanism of disease and begin to investigate therapies based upon the specific disease gene. Many questions remain to be

answered even once a disease gene is identified, and include the role of genetic screening and the course and treatment of the clinically silent patient who tests positive for a mutation. Finally, animal studies continue to identify new genes participating in cardiovascular developmental pathways and will undoubtedly help identify candidate genes for different cardiac defects in the future.

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- Of outstanding interest

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